

MORTGAGE BROKING QUESTIONNAIRE

1. Please split the mortgage income disclosed in the proposal form into the following activities and state average and largest mortgage loan amount:-

Activity	%	Number of cases completed	Average mortgage loan amount	Largest mortgage loan amount
Residential Full Status Mortgages				
Self Certification/Non Status Mortgages				
Sub Prime/Adverse Mortgages				
Lifetime/Equity Release Mortgages/Home Income Plans				
Equity Release Home Reversion Schemes				
Ijara and Musharaka Home Purchase Plans				
Buy to Let Mortgages				
Commercial Mortgages				
Secured Loans				
Unsecured Loans				
Bridging Finance				
Other:				
Total	100%		£	£

2. a) Is all commercial advice given and are sales conducted in accordance with the NACFB regulations (excluding Buy-to-Let)? YES / NO
 b) If not a member, are you aware of the regulations to avoid mis-selling? YES / NO
3. If you have ever arranged an equity-release type mortgage, is it your standard practice to adopt the S.H.I.P procedures? If NO, please provide your rationale. YES / NO
4. a) If you have ever arranged self-certification mortgages, do you provide confirmation that an applicant's stated income is correct? YES / NO
 b) If YES, is this always fully researched? YES / NO
 If NO, please provide further details.
5. Do you sell, or have you in the past sold, any PPI (Payment Protection Insurance)? If YES, please complete the PPI Questionnaire overleaf. YES / NO
6. Was the Firm previously registered with the Mortgage Code Compliance Board? YES / NO
 If YES, please confirm that
 i) all business was handled as if it was FSA regulated YES / NO
 ii) the Mortgage Code was adhered to. YES / NO

Signed _____

Dated _____

Name of Firm _____